

You can skip one of the first five problems, but problem 6 is required.

1. (a) Prove that any simplex is collapsible.
- (b) For any simplicial complex  $\Delta$ , let  $f_i(\Delta)$  denote the number of simplices in  $\Delta$  with  $i + 1$  vertices (that is, with dimension  $i$ ). In particular,  $f_{-1}(\Delta) = 1$  for any complex  $\Delta$ . The *Euler characteristic* of  $\Delta$ , denoted  $\chi(\Delta)$ , is defined as the following alternating sum:

$$\chi(\Delta) = \sum_i (-1)^i f_i(\Delta).$$

Prove that every collapsible simplicial complex has Euler characteristic zero.

- (c) Describe a non-collapsible simplicial complex with Euler characteristic zero.
2. (This problem appeared on this semester's theory qual.) A *swap* transforms one permutation into another by exchanging one pair of adjacent elements. The *swap distance* between two permutations is the minimum number of swaps required to transform one into the other. For example, we can transform  $\langle 1, 4, 3, 2 \rangle$  into  $\langle 1, 2, 3, 4 \rangle$  with three swaps

$$\langle 1, 4, \underline{3}, 2 \rangle \rightarrow \langle 1, \underline{4}, 2, 3 \rangle \rightarrow \langle 1, 2, \underline{4}, 3 \rangle \rightarrow \langle 1, 2, 3, 4 \rangle$$

but not with two swaps, so the swap distance between those two permutations is 3.

- (a) Prove that the expected swap distance between two random permutations of  $\{1, 2, \dots, n\}$  is  $\Theta(n^2)$ .
  - (b) Describe an efficient algorithm to compute the swap distance between two given permutations of  $\{1, 2, \dots, n\}$ .
  - (c) Prove that your algorithm is optimal in some appropriate model of computation.
3. The MAXGAP problem asks, given a set  $X = \{x_1, x_2, \dots, x_n\}$  of real numbers, to find the pair of adjacent elements that is furthest apart. That is, we want to find two elements  $x_i$  and  $x_j$  such that no element of  $X$  lies strictly between  $x_i$  and  $x_j$ , and the distance  $|x_i - x_j|$  is maximized.
    - (a) Prove an  $\Omega(n \log n)$  lower bound for MAXGAP in the algebraic computation tree model. [Hint: Use a reduction from another problem.]
    - (b) Describe an algorithm to solve the MAXGAP problem in  $O(n)$  time. [Hint: Do something that the algebraic computation tree model doesn't allow.]
  4. Consider the following version of the traveling salesman problem: Given  $\binom{n}{2}$  real numbers, representing weights on the edges of a complete  $n$ -vertex graph, and another real number  $L$ , is there a Hamiltonian cycle of length at most  $L$ ?
    - (a) Show that any algebraic decision tree that solves the traveling salesman problem has depth  $\Omega(n^2)$ .
    - (b) Describe a linear decision tree of polynomial depth that solves the traveling salesman problem.

5. Let  $X$  be a set of  $n$  points in the plane. Recall that the *convex hull* of  $X$  is the smallest convex set containing  $X$ ; intuitively, we can define this by wrapping a rubber band around the points. A point in  $X$  is called *extreme* if it is a vertex of the convex hull of  $X$ .

Suppose we wanted to compute the extreme points in an  $n$ -point set using a “ruler and compass” algorithm. Such an algorithm maintains a set of points, lines, and circles, subject to the following operations:

- Construct a directed line between any two points.
- Construct the circle with one point at the center and another point on the boundary.
- Construct a point at the intersection of two lines (if they intersect).
- Construct the points at the intersection of a line and a circle (if they intersect).
- Construct the points at the intersection of two circles (if they intersect).
- Test whether a point is on the left side, on the right side, or on a directed line.
- Test whether a point is inside, outside, or on the boundary of a circle.

Prove that any “ruler and compass” algorithm needs  $\Omega(n \log n)$  steps to compute the extreme points in a set of  $n$  points in the plane. [Hint: Formalize the model of computation.]

6. This problem asks you to derive lower bound for linear decision trees based on counting the number of faces of a convex polyhedron. Recall that a *convex polyhedron* is the intersection of a finite number of closed linear halfspaces. A hyperplane *supports* a polyhedron if it does not intersect the interior of the polyhedron. A *proper face* of a polyhedron  $\Pi$  is the intersection of (the boundary of)  $\Pi$  with any supporting hyperplane; proper faces are lower-dimensional polyhedra. A *face* is either a proper face or the entire polyhedron. A *facet* is a face with co-dimension 1.

For example, the cube is a polyhedron defined by intersecting six halfspaces:

$$x \geq 0, \quad x \leq 1, \quad y \geq 0, \quad y \leq 1, \quad z \geq 0, \quad z \leq 1.$$

This polyhedron has a total of 28 faces: the entire cube, six (2-dimensional) square facets, twelve (1-dimensional) edges, eight (0-dimensional) vertices, and the (−1-dimensional) empty set. In general, the number of faces is (very crudely!) limited by the following theorem:

**The Upper Bound Theorem.** *The intersection of  $n$  halfspaces in  $\mathbb{R}^d$  has  $O(n^{\lfloor d/2 \rfloor})$  faces.*

- (a) Prove that if a convex polyhedron  $\Pi$  can be written as the union of two convex polyhedra  $\Pi_1 \cup \Pi_2$ , then  $F(\Pi) \leq F(\Pi_1) + F(\Pi_2)$ .
- (b) Let  $\Pi$  be a convex polyhedron in  $\mathbb{R}^n$ , and let  $F(\Pi)$  denote the number of faces of  $\Pi$ . Prove that any linear decision tree that decides whether an input point  $x \in \mathbb{R}^n$  is inside  $\Pi$  has depth  $\Omega(\log F(\Pi))$ .
- (c) Now let  $\Pi$  be a fixed convex polyhedron with  $f$  faces in  $\mathbb{R}^d$ . Prove a lower bound for the following problem: Given  $n$  points in  $\mathbb{R}^d$ , do they all lie inside  $\Pi$ ? [Hint: The problem really asks whether a single point is inside a polyhedron  $\hat{\Pi}$  in  $\mathbb{R}^{nd}$ ; what are the faces of this polyhedron?]