

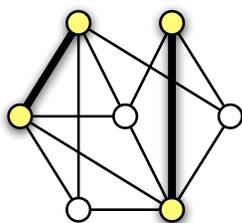
You have 120 minutes to answer all five questions.  
**Write your answers in the separate answer booklet.**  
 Please turn in your question sheet and your cheat sheet with your answers.

1. Consider the following modification of the ‘dumb’ 2-approximation algorithm for minimum vertex cover that we saw in class. The only change is that we output a set of edges instead of a set of vertices.

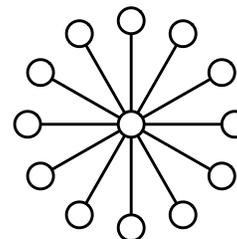
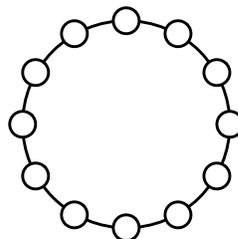
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APPROXMINMAXMATCHING( $G$ ):
 $M \leftarrow \emptyset$ 
while  $G$  has at least one edge
    let  $(u, v)$  be any edge in  $G$ 
    remove  $u$  and  $v$  (and their incident edges) from  $G$ 
    add  $(u, v)$  to  $M$ 
return  $M$ 
    
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- (a) **Prove** that this algorithm computes a *matching*—no two edges in  $M$  share a common vertex.
- (b) **Prove** that  $M$  is a *maximal* matching— $M$  is not a proper subgraph of another matching in  $G$ .
- (c) **Prove** that  $M$  contains at most twice as many edges as the *smallest* maximal matching in  $G$ .



The smallest maximal matching in a graph.



A cycle and a star.

2. Consider the following heuristic for computing a small vertex cover of a graph.
- Assign a random *priority* to each vertex, chosen independently and uniformly from the real interval  $[0, 1]$  (just like treaps).
  - Mark every vertex that does *not* have larger priority than *all* of its neighbors.

For any graph  $G$ , let  $OPT(G)$  denote the size of the smallest vertex cover of  $G$ , and let  $M(G)$  denote the number of nodes marked by this algorithm.

- (a) **Prove** that the set of vertices marked by this heuristic is *always* a vertex cover.
- (b) Suppose the input graph  $G$  is a *cycle*, that is, a connected graph where every vertex has degree 2. What is the expected value of  $M(G)/OPT(G)$ ? **Prove** your answer is correct.
- (c) Suppose the input graph  $G$  is a *star*, that is, a tree with one central vertex of degree  $n - 1$ . What is the expected value of  $M(G)/OPT(G)$ ? **Prove** your answer is correct.

3. Suppose we want to write an efficient function  $\text{SHUFFLE}(A[1..n])$  that randomly permutes the array  $A$ , so that each of the  $n!$  permutations is equally likely.

(a) **Prove** that the following  $\text{SHUFFLE}$  algorithm is **not** correct. [Hint: There is a two-line proof.]

$\begin{array}{l} \text{SHUFFLE}(A[1..n]): \\ \text{for } i = 1 \text{ to } n \\ \text{swap } A[i] \leftrightarrow A[\text{RANDOM}(n)] \end{array}$
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(b) Describe and analyze a correct  $\text{SHUFFLE}$  algorithm whose expected running time is  $O(n)$ .

Your algorithm may call the function  $\text{RANDOM}(k)$ , which returns an integer uniformly distributed in the range  $\{1, 2, \dots, k\}$  in  $O(1)$  time. For example,  $\text{RANDOM}(2)$  simulates a fair coin flip, and  $\text{RANDOM}(1)$  always returns 1.

4. Let  $\Phi$  be a legal input for 3SAT—a boolean formula in conjunctive normal form, with exactly three literals in each clause. Recall that an assignment of boolean values to the variables in  $\Phi$  **satisfies** a clause if at least one of its literals is TRUE. The **maximum satisfiability problem**, sometimes called MAX3SAT, asks for the maximum number of clauses that can be simultaneously satisfied by a single assignment. Solving MAXSAT exactly is clearly also NP-hard; this problem asks about approximation algorithms.

(a) Let  $\text{MaxSat}(\Phi)$  denote the maximum number of clauses that can be simultaneously satisfied by one variable assignment. Suppose we randomly assign each variable in  $\Phi$  to be TRUE or FALSE, each with equal probability. **Prove** that the expected number of satisfied clauses is at least  $\frac{7}{8}\text{MaxSat}(\Phi)$ .

(b) Let  $\text{MinUnsat}(\Phi)$  denote the *minimum* number of clauses that can be simultaneously *unsatisfied* by a single assignment. **Prove** that it is NP-hard to approximate  $\text{MinUnsat}(\Phi)$  within a factor of  $10^{10^{100}}$ .

5. Consider the following randomized algorithm for generating biased random bits. The subroutine FAIRCOIN returns either 0 or 1 with equal probability; the random bits returned by FAIRCOIN are mutually independent.

$\begin{array}{l} \text{ONEINTHREE:} \\ \text{if FAIRCOIN} = 0 \\ \text{return } 0 \\ \text{else} \\ \text{return } 1 - \text{ONEINTHREE} \end{array}$
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(a) **Prove** that ONEINTHREE returns 1 with probability  $1/3$ .

(b) What is the *exact* expected number of times that this algorithm calls FAIRCOIN? **Prove** your answer is correct.

(c) Now suppose you are *given* a subroutine ONEINTHREE that generates a random bit that is equal to 1 with probability  $1/3$ . Describe a FAIRCOIN algorithm that returns either 0 or 1 with equal probability, using ONEINTHREE as a subroutine. **Your only source of randomness is ONEINTHREE; in particular, you may not use the RANDOM function from problem 3.**

(d) What is the *exact* expected number of times that your FAIRCOIN algorithm calls ONEINTHREE? **Prove** your answer is correct.