

## 7. Variations on a theme.

Another standard method for handling collisions in hash tables is to overflow colliding items into a smaller *global* secondary hash table. If we implement the secondary table recursively, we obtain an logarithmic sequence of hash tables, each a constant factor smaller than its parent. By making the table sizes successive powers of two, we can maintain the entire sequence in a single array.

Let  $m = 2^r$  for some integer  $r$ . Our hash table consists of a single array  $T[1..2m-1]$ , which is notionally divided into subtables of the form  $T[2^i..2^{i+1}-1]$  for each integer  $0 \leq i \leq r$ . For each index  $i$ , we use a separate hash function  $h_i: \mathcal{U} \rightarrow [2^i]$ . Here are the algorithms to insert and find an item  $x$ .

```

INSERT(x):
  for i ← r down to 0
    h ← hi(x) + 2i
    if T[h] = x
      return TRUE
    else if T[h] = ∅
      T[h] ← x
      return TRUE
  return FALSE

```

```

FIND(x):
  for i ← r down to 0
    h ← hi(x) + 2i
    if T[h] = x
      return TRUE
    else if T[h] = ∅
      return FALSE
  return FALSE

```

- (a) Assume that  $h_0, h_1, \dots, h_r$  are fully independent ideal random functions. Suppose we INSERT  $n = m/2$  distinct items into an initially empty table.
- Give the best bound you can on the probability that all  $n$  calls to INSERT are successful. [Hint: What is the expected number of collisions at the top level?]
  - Assuming all  $n$  insertions were successful, prove that the expected running time of FIND( $x$ ) is  $O(1)$ .
- (b) Repeat the previous analysis, but with  $n = m$ . [Hint: What is the expected number of empty cells at the top level?]
- (c) Now suppose each hash function  $h_i$  is merely 2-universal, but the different  $h_i$ 's are mutually independent from each other. Repeat your analysis from part (a), assuming  $n = m/2$ .
- \* (d) How large can you make  $n$  and still derive an  $O(1)$  expected time bound for FIND( $x$ ) with only 2-universal hash functions? Alternatively, how much independence is required to guarantee  $O(1)$  expected time bound for FIND( $x$ ) when  $n = m$ ?
- \* (e) Now suppose instead of using an independent hash function at every level, we use a single hash function  $h: \mathcal{U} \rightarrow [m]$ , and implicitly define  $h_i(x) = \lfloor h(x)/2^i \rfloor$ .

Equivalently, we can model our data structure is a complete binary tree with depth  $r$ . The INSERT algorithm chooses a random leaf, and then walks upward toward the root to find an empty node to store  $x$ . In the implementation below, the overflow tree is encoded into a single array  $T$  in heap order.

```
INSERT(x) :  
  h ← h(x) + 2r  
  for i ← r down to 0  
    if T[h] = x  
      return TRUE  
    else if T[h] = ∅  
      T[h] ← x  
      return TRUE  
  h ← ⌊h/2⌋  
  return FALSE
```

```
FIND(x) :  
  h ← h(x) + 2r  
  for i ← r down to 0  
    if T[h] = x  
      return TRUE  
    else if T[h] = ∅  
      return FALSE  
  h ← ⌊h/2⌋  
  return FALSE
```

Repeat your analysis from part (a), assuming  $n = m/2$  and the hash function  $h$  is ideal random.

- \* (f) Prove something interesting about the previous hashing scheme when  $n = m$  and/or when the hash function  $h$  has limited independence.