

Fusible numbers and Peano Arithmetic

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Abstract—Inspired by a mathematical riddle involving fuses, we define the *fusible numbers* as follows: 0 is fusible, and whenever x, y are fusible with $|y - x| < 1$, the number $(x + y + 1)/2$ is also fusible. We prove that the set of fusible numbers, ordered by the usual order on \mathbb{R} , is well-ordered, with order type ε_0 . Furthermore, we prove that the density of the fusible numbers along the real line grows at an incredibly fast rate: Letting $g(n)$ be the largest gap between consecutive fusible numbers in the interval $[n, \infty)$, we have $g(n)^{-1} \geq F_{\varepsilon_0}(n - c)$ for some constant c , where F_α denotes the fast-growing hierarchy.

Finally, we derive some true statements that can be formulated but not proven in Peano Arithmetic, of a different flavor than previously known such statements: PA cannot prove the true statement “For every natural number n there exists a smallest fusible number larger than n .” Also, consider the algorithm “ $M(x)$: if $x < 0$ return $-x$, else return $M(x - M(x - 1))/2$.” Then M terminates on real inputs, although PA cannot prove the statement “ M terminates on all natural inputs.”

I. INTRODUCTION

A popular mathematical riddle goes as follows: We have available two fuses, each of which will burn for one hour when lit. How can we use the two fuses to measure 45 minutes? The fuses do not burn at a uniform rate, so we cannot predict how much of a fuse will burn after any period of time smaller than an hour.

The solution is to light both ends of one fuse simultaneously, and at the same time light one end of the second fuse. The first fuse will burn after 30 minutes. At that moment we light the second end of the second fuse. The second fuse will burn after an additional 15 minutes.

This riddle appears for example in Peter Winkler’s puzzle collection ([1] p. 2), where he attributes it to Carl Morris. It was also aired by Ray Magliozzi in his *Car Talk* radio show [2]. Frank Morgan called it an “old challenge” in 1999 [3]. The puzzle (or variants using strings, shoelaces, or candles) even became a standard interview question at some job interviews.

Based on this puzzle, we define the set $\mathcal{F} \subset \mathbb{Q}$ of *fusible numbers* as the set of all times that can be similarly measured by using any number of fuses that burn for unit time. Formally, let us first define

$$x \sim y = (x + y + 1)/2 \quad \text{for } x, y \in \mathbb{R}.$$

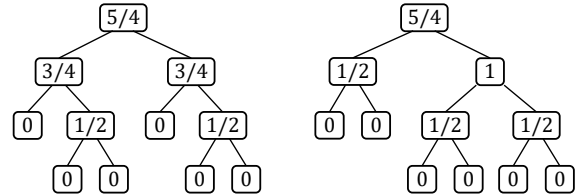


Fig. 1. Two different representations of the fusible number $5/4$.

If $|y - x| < 1$, then the number $x \sim y$ (read “ x fuse y ”) represents the time at which a fuse burns, if its endpoints are lit at times x and y , respectively. Then \mathcal{F} is defined recursively by $0 \in \mathcal{F}$, and $x \sim y \in \mathcal{F}$ whenever $x, y \in \mathcal{F}$ with $|y - x| < 1$.

Note that we do not allow lighting a fuse at only one endpoint. This entails no loss of generality, since the same effect can be obtained by lighting a fuse at both endpoints simultaneously, and then lighting a second fuse at both endpoints simultaneously. Hence, for example, $1 \in \mathcal{F}$ since $1 = (0 \sim 0) \sim (0 \sim 0)$.

Every $z \in \mathcal{F}$ is a nonnegative dyadic rational, meaning a nonnegative rational number whose denominator, when in lowest terms, is a power of 2.

There might be several ways of obtaining a given fusible number $z \in \mathcal{F}$. For example, $3/4 \sim 3/4 = 1/2 \sim 1 = 5/4$.

We distinguish between fusible numbers, which belong to \mathbb{Q} , and their *representations*. A representation is an algebraic expression using “0” and “ \sim ” that gives rise to a fusible number. We can think of a representation as a full binary tree (i.e. a binary tree each of whose nodes has either zero or two children). Each leaf of the tree corresponds to a 0, and each internal node corresponds to a \sim operation. Not every full binary tree is a valid representation, however, because of the $|y - x| < 1$ requirement. Hence, $5/4 \in \mathcal{F}$ has the two representations

$$\begin{aligned} T_1 &= “(0 \sim (0 \sim 0)) \sim (0 \sim (0 \sim 0))”, \\ T_2 &= “(0 \sim 0) \sim ((0 \sim 0) \sim (0 \sim 0))”, \end{aligned}$$

whose corresponding trees are shown in Figure 1. (When necessary, we distinguish representations from their cor-

responding fusible numbers by enclosing representations in quotation marks.)

We will usually represent fusible numbers with lowercase letters and representations with uppercase letters. The fusible number corresponding to a representation T is denoted by $v(T)$.

The *height* $h(T)$ of a representation T is the height of the corresponding tree, i.e. the length of the longest path from the root to a leaf. Hence, $h("0") = 0$ and $h("0 \sim 0") = 1$. Both representations T_1, T_2 of $5/4$ above have height 3. The fusible number $11/8$ has a representation of height 3 (as $11/8 = 3/4 \sim 1$), as well as one of height 4 (as $11/8 = 7/8 \sim 7/8$ or $11/8 = 1/2 \sim 5/4$).

We have $v(T) = \sum_x 2^{-\text{depth}(x)-1}$, where the sum ranges over all internal nodes x of T .

There are infinitely many fusible numbers smaller than 1. In fact, the fusible numbers smaller than 1 are exactly those of the form $1 - 2^{-n}$ for¹ $n \in \mathbb{N}$, given by $1 - 2^{-(n+1)} = 0 \sim (1 - 2^{-n})$. This sequence converges to 1. The number 1 itself is also fusible, given by $1 = 1/2 \sim 1/2$. Figure 2 shows part of the set \mathcal{F} .

A. Our results

As we show in this paper, the set \mathcal{F} of fusible numbers is related to ordinals, proof theory, computability theory, and fast-growing functions (in fact, functions growing much faster than Ackermann’s function). In this paper we prove the following:

Theorem I.1. *The set \mathcal{F} of fusible numbers, when ordered by the usual order “ $<$ ” on \mathbb{R} , is well-ordered, with order type ε_0 .*

Theorem I.2. *The density of the fusible numbers along the real line grows very fast: Let $g(n)$ be the largest gap between consecutive fusible numbers in $\mathcal{F} \cap [n, \infty)$. Then $g(n)^{-1} \geq F_{\varepsilon_0}(n - 7)$ for all $n \geq 8$, where F_α denotes the fast-growing hierarchy.*

Peano Arithmetic: After Gödel published his incompleteness results, mathematicians became interested in finding examples of *natural* true statements that cannot be proven in different formal theories. Peano Arithmetic is a nice example of a simple theory, since it allows making “finitary” statements (statements involving finite sets and structures built on the natural numbers) though not “infinitary” statements (statements involving infinite sets). Despite its limitations, a good portion of modern mathematics can be carried out in PA (see e.g. Bovykin [4]).

There are several known natural true statements that can be formulated in PA, but whose proofs require “infinitary” arguments and thus cannot be carried out in PA. The most famous examples concern Goodstein

sequences (Kirby and Paris [5]), hydras (Kirby and Paris [5]), and a variant of Ramsey’s theorem (Paris and Harrington [6]). Further examples include another variant of Ramsey’s theorem involving regressive colorings (Kanamori and McAloon [7]); so-called worms (Beklemishev [8], previously discovered by Hamano and Okada [9]); a finite miniaturization of Kruskal’s tree theorem (Friedman, mentioned in [10]); a finite miniaturization of the Robertson–Seymour graph minor theorem (Friedman et al. [11]); and a finite miniaturization of a topological result of Galvin and Prikry (Friedman et al. [12], also mentioned in [13]). See the survey by Simpson [14].

There are many articles on the topic aimed at a wide readership. See for example Sladek [15] and Smoryński [16], [17].

As we show in this paper, fusible numbers are a source of a few other true statements that can be formulated but not proven in PA. Interestingly, these statements are of a different flavor than the previously known ones:

Theorem I.3.

- 1) *PA cannot prove the true statement “For every $n \in \mathbb{N}$ there exists a smallest fusible number larger than n .”*
- 2) *PA cannot prove the true statement “Every fusible number $x \in \mathcal{F}$ has a maximum-height representation $h_{\max}(x)$.”*
- 3) *Consider the algorithm “ $M(x)$: if $x < 0$ return $-x$, else return $M(x - M(x - 1))/2$.” Then M terminates on real inputs, although PA cannot prove the statement “ M terminates on all natural inputs.”*

Organization of this paper: In Section II we derive some basic results about fusible numbers. Section III contains some necessary background on ordinals and fast-growing functions up to ε_0 . In Section IV we prove the lower bound of Theorem I.1, as well as Theorem I.2, by considering a subset of \mathcal{F} which we call *tame* fusible numbers. In Section V we prove the upper bound of Theorem I.1. In Section VI we give some background on Peano Arithmetic and prove Theorem I.3. Due to space constraints, some proofs have been omitted. The missing details can be found in the full version of this paper².

Fusible numbers were first introduced by the first author at a talk in a satellite Gathering 4 Gardner event at UIUC at around 2010, in which he mentioned some of the above results. The slides of the talk are available at [18]. The third author later on released a manuscript [19] with further results, as well as some corrections to the claims in the slides. The present paper builds upon these two unpublished works, also making some additional minor corrections.

¹We denote $\mathbb{N} = \{0, 1, 2, 3, \dots\}$ and $\mathbb{N}^+ = \{1, 2, 3, \dots\}$.

²<https://arxiv.org/abs/2003.14342>

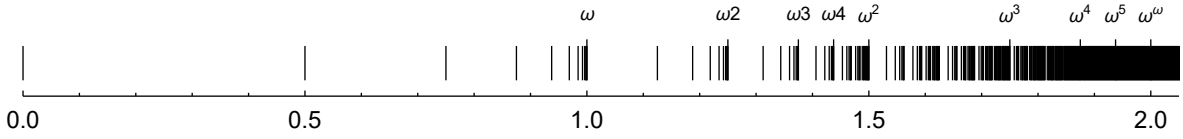


Fig. 2. The fusible numbers and their corresponding ordinals.

II. BASIC RESULTS

In this section we derive some basic results about \mathcal{F} . In particular, we give a simple “non-constructive” proof that \mathcal{F} is well-ordered, though the proof does not give a clue about the order type of \mathcal{F} . As a corollary, we also derive an algorithm $\text{SUCC}(x)$ for finding the smallest fusible number larger than x , as well as an algorithm $\text{ISFUSIBLE}(x)$ for deciding whether $x \in \mathcal{F}$ or not. The well-orderedness of \mathcal{F} implies that both algorithms terminate on all inputs, though without giving any indication of their running time.

Observation II.1. *Suppose $x \leq y$ with $|y - x| < 1$, and let $z = x \sim y$. Then $x + 1/2 \leq z < x + 1$ and $y < z$.*

Lemma II.2 (Window lemma). *Suppose $z \in \mathcal{F}$, and let $0 < m \leq 1$. Then there exists a fusible number in the window $I = (z + 1 - 2m, z + 1 - m]$.*

Proof. Let $z_n = z + 1 - 2^{-n}$ for $n \in \mathbb{N}$. Since $z_0 = z$ and $z_{n+1} = z \sim z_n$, we have $z_n \in \mathcal{F}$ for all n . One of these numbers belongs to I . \square

Lemma II.3. *The set \mathcal{F} , ordered by the usual order “ $<$ ” of real numbers, is well-ordered, meaning every nonempty subset of \mathcal{F} has a smallest element.*

Proof. Suppose for a contradiction that \mathcal{F} is not well-ordered, so there exist nonempty subsets $\mathcal{G} \subseteq \mathcal{F}$ without a smallest element. Every such subset contains an infinite descending sequence $S = (z_1 > z_2 > z_3 > \dots)$.³ Each infinite descending sequence S of \mathcal{F} converges to some limit $\lim S \in \mathbb{R}$. Let \mathcal{L} be the set of all such limits. Then \mathcal{L} must have a smallest element ℓ_0 , since the limit of an infinite descending sequence in \mathcal{L} must also be in \mathcal{L} . So let $S_0 = (z_1 > z_2 > z_3 > \dots)$ be an infinite descending sequence in \mathcal{F} converging to ℓ_0 .

Write $z_i = x_i \sim y_i$ with $x_i \leq y_i$. We can assume without loss of generality (by applying the infinite Ramsey theorem and taking a subsequence of S_0 , if necessary) that the sequence $\{x_i\}$ is either ascending, descending, or constant. But by Observation II.1 and the minimality of ℓ_0 , the sequence $\{x_i\}$ cannot be descending. Hence, $\{x_i\}$ is either constant or ascending,

and therefore $\{y_i\}$ is descending. Let y^* be such that $x_1 \sim y^* = \ell_0$. By Observation II.1 we have $y^* < \ell_0$, and by the continuity of “ \sim ” and the fact that $x_i \geq x_1$ for all i , we have $\lim y_i \leq y^*$. This contradicts the minimality of ℓ_0 . \square

Given a well-ordered subset $\mathcal{G} \subset \mathbb{R}$ (with respect to the usual order “ $<$ ”), let $\text{ord}(\mathcal{G})$ be the ordinal corresponding to \mathcal{G} .⁴ Lemma II.3 raises the problem of identifying the ordinal $\text{ord}(\mathcal{F})$. As mentioned, we will prove that $\text{ord}(\mathcal{F}) = \varepsilon_0$.

Given $r \in \mathbb{R}$, we will denote $\text{ord}(\mathcal{F} \cap [0, r))$ by $\text{ord}(r)$. Conversely, given a countable ordinal $\alpha < \text{ord}(\mathcal{F})$, let $\text{fus}(\alpha)$ be the unique element $z \in \mathcal{F}$ such that $\text{ord}(z) = \alpha$. So for example, $\text{ord}(0) = 0$, $\text{ord}(1/2) = 1$, $\text{ord}(3/4) = 2$, $\text{ord}(1) = \omega$, and $\text{ord}(9/8) = \omega + 1$. Conversely $\text{fus}(\omega) = 1$ and $\text{fus}(\omega + 1) = 9/8$.

Note that the above proof of Lemma II.3 is nonconstructive: It doesn’t give us a clue about the ordinal type of \mathcal{F} nor about $\text{ord}(z)$ for any z (beyond the obvious fact that they are countable ordinals).

Lemma II.4. *\mathcal{F} is closed, meaning if $z_1 < z_2 < z_3 < \dots$ is a sequence in \mathcal{F} converging to $z \in \mathbb{R}$, then $z \in \mathcal{F}$.*

Proof. Let \mathcal{L} be the set of all “missing limits” in \mathcal{F} , i.e. the set of all real numbers $x \notin \mathcal{F}$ for which there exists an ascending sequence in \mathcal{F} converging to x . Assuming for a contradiction that $\mathcal{L} \neq \emptyset$, let $\ell = \inf \mathcal{L}$. We must have $\ell \in \mathcal{L}$, because otherwise we would obtain a contradiction to Lemma II.3.

Write $z_i = x_i \sim y_i$. As before, we can assume without loss of generality that $\{x_i\}$ and $\{y_i\}$ are nondecreasing. Let $x = \lim x_i$ and $y = \lim y_i$. By the continuity of “ \sim ”, we have $\ell = x \sim y$. By Observation II.1 we have $\ell - 1 \leq x \leq \ell - 1/2$. Hence, by the minimality of ℓ , we must have $x \in \mathcal{F}$. If $x > \ell - 1$ then $y < \ell$, so by the minimality of ℓ we also have $y \in \mathcal{F}$, implying that $\ell \in \mathcal{F}$. And if $x = \ell - 1$ (and so $y = \ell$), then $\ell = (x \sim x) \sim (x \sim x)$. \square

Hence, \mathcal{F} contains two types of nonzero fusible numbers: *successors* and *limits*. They correspond exactly to the successor and limit nonzero ordinals below $\text{ord}(\mathcal{F})$.

³To avoid relying on the axiom of choice, we can choose each z_n canonically from z_{n-1} in some way. For example, we could let z_n be the element of $\mathcal{G} \cap (0, z_{n-1})$ with smallest denominator, and from among them, the one with the smallest numerator.

⁴Note that \mathcal{G} must be countable in order to be well-ordered, since after every element of \mathcal{G} there must be an open interval disjoint from \mathcal{G} , which contains a rational number. Conversely, every countable ordinal α can be realized by some $\mathcal{G} \subset \mathbb{R}$.

Given a dyadic fraction $r = p/q$ with p odd and $q = 2^n$, we denote $e(r) = n$ and call it the *exponent* of r .

Observation II.5. Let $r_1 = (p-1)/q$ and $r_2 = p/q$ be two dyadic fractions (not necessarily in lowest terms) with $q = 2^n$. Then every dyadic fraction $r_1 < r < r_2$ satisfies $e(r) > n$.

Observation II.6. Let x, y, z be dyadic fractions with $z = x \sim y$, and suppose that $1 \leq e(x) \leq e(y)$. If $e(x) < e(y)$ then $e(z) = e(y) + 1$. And if $e(x) = e(y)$ then $e(z) \leq e(x)$.

Corollary II.7. For every representation T of a fusible number $z = v(T)$, we have $h(T) \geq e(z)$.

Lemma II.8. Let T be a representation of $z = v(T)$ with height $h(T) = n$. Then $z' = z + 2^{-(n+1)} \in \mathcal{F}$, and it has a representation of height $n + 1$.

Proof. By induction on n . If $n = 0$ then $T = "0"$ and $z = 0$, and then $z' = 1/2 = 0 \sim 0$. Now suppose the claim is true for height $n - 1$, and let $T = "T_1 \sim T_2"$ be a representation of height n . Then $h(T_1), h(T_2) \leq n - 1$, with equality in at least one case. Say $h(T_1) = n - 1$. Let $x = v(T_1)$ and $y = v(T_2)$. We have $|y - x| < 1$ and $e(x), e(y) \leq n - 1$, so actually $|y - x| \leq 1 - 2^{-(n-1)}$. By the induction hypothesis, there exists a representation T' of $x' = x + 2^{-n}$ with $h(T') = n$. Furthermore, we still have $|y - x'| < 1$, so " $T' \sim T_2$ " is valid, and it represents $z' = z + 2^{-(n+1)}$. \square

Lemma II.9. Let T be a representation of $z = v(T)$ with height $h(T) = n > 0$. Then $z' = z - 2^{-n} \in \mathcal{F}$, and it has a representation of height at least $n - 1$, as well as a representation of height at most n .

Proof. Again by induction on n . If $n = 1$ then $T = "0 \sim 0"$ and $z = 1/2$, and then $z' = 0$. Now suppose the claim is true for height $n - 1$, and let $T = "T_1 \sim T_2"$ be a representation of height n . Then $h(T_1), h(T_2) \leq n - 1$, with equality in at least one case. Say $h(T_1) = n - 1$. Let $x = v(T_1)$ and $y = v(T_2)$. We have $|y - x| < 1$ and $e(x), e(y) \leq n - 1$, so actually $|y - x| \leq 1 - 2^{-(n-1)}$. By the induction hypothesis, $x' = x - 2^{-(n-1)}$ has a representation T' with $h(T') \geq n - 2$, as well as a representation T'' with $h(T'') \leq n - 1$. If we still have $|y - x'| < 1$ then " $T' \sim T_2$ " and " $T'' \sim T_2$ " are valid and we are done. Otherwise, we have $y - x' = 1$, and so $z' = z - 2^{-n} = y = x' + 1$, so T_2 and " $(T' \sim T') \sim (T' \sim T')$ " are two representations of z' . The first one has height at most $n - 1$, and the second one has height at least n . \square

Lemma II.8 implies that every fusible number has a maximum-height representation, since otherwise we would obtain an infinite decreasing sequence in \mathcal{F} . Given

$z \in \mathcal{F}$, denote by $h_{\max}(z)$ the maximum of $h(T)$ over all representations T with $v(T) = z$.

Lemma II.10. Let $z \in \mathcal{F}$ be a fusible number satisfying $h_{\max}(z) > e(z)$. Then z is a limit.

Proof. Assuming for a contradiction that z is a successor, let $z' \in \mathcal{F}$ be the predecessor of z . Let $n = h_{\max}(z)$. By Lemma II.9 we have $z'' = z - 2^{-n} \in \mathcal{F}$. Hence, $z'' \leq z' < z$. Since $e(z'') = n$, by Observation II.5 we have $e(z') \geq n$ as well. Hence, by Lemma II.8 we have $z''' = z' + 2^{-(n+1)} \in \mathcal{F}$, and by Observation II.5 we still have $z''' < z$. This contradicts the fact that z' is the predecessor of z . \square

The converse of Lemma II.10 is also true. See the full version.

Since \mathcal{F} is well-ordered, every fusible number has a successor. Denote the successor of $z \in \mathcal{F}$ by $s(z)$.

Lemma II.11. Let $z \in \mathcal{F}$ be a fusible number with $h_{\max}(z) = n$. Then its successor is $s(z) = z + 2^{-(n+1)}$, and its maximum height is $h_{\max}(s(z)) = n + 1$.

Proof. We already know by Lemma II.8 that $z' = z + 2^{-(n+1)} \in \mathcal{F}$. By Corollary II.7 we have $e(z) \leq n$, so $e(z') = n + 1$. Suppose for a contradiction that there exist fusible numbers $z'' \in (z, z')$. Let m be the smallest height of a representation of such a fusible number. Choose $z'' \in \mathcal{F} \cap (z, z')$ minimally from among those having a representation T of height m . By Corollary II.7 and Observation II.5 we have $m \geq e(z'') \geq n + 2$. Hence, by Lemma II.9 and Observation II.5, there exists $z''' \in \mathcal{F} \cap [z, z'')$ with a representation of height at least $n + 1$ and a representation of height at most m . If $z''' > z$ this contradicts the minimality of m or z'' , whereas if $z''' = z$ this contradicts the assumption $h_{\max}(z) = n$. Hence, we must have $\mathcal{F} \cap (z, z') = \emptyset$, so $s(z) = z'$. If $h_{\max}(z')$ were larger than $n + 1$, then again applying Lemma II.9 on z' would lead to a contradiction. \square

Corollary II.12. Let $z \in \mathcal{F}$ have maximum height $h_{\max}(z) = n$. Then the next ω fusible numbers following z are $z + 2^{-n} - 2^{-(n+m)}$ for $m = 1, 2, 3, \dots$

Lemma II.13. Let $z = p/q \in \mathcal{F}$ be a successor fusible number, with p odd. Then its predecessor is $z' = (p - 1)/q$.

Proof. By Lemma II.10 we have $h_{\max}(z) = e(z) = n$ where $q = 2^n$. Hence, by Lemma II.9 we know that $z' \in \mathcal{F}$. Let z'' be the predecessor of z , and suppose for a contradiction that $z' < z'' < z$. Then Observation II.5 together with Lemma II.11 yields a contradiction. \square

A. Algorithms

Suppose we are given $z \in \mathcal{F}$ and we want to find $s(z)$, or we want to decide whether a given number $z \in \mathbb{Q}$

Algorithm 1 Finds $\min(\mathcal{F} \cap (r, \infty))$

```
procedure SUCC( $r$ )
  if  $r < 0$  then
    return 0
   $min \leftarrow \infty$ 
5:   $y \leftarrow r$ 
  repeat
     $x \leftarrow \text{SUCC}(2r - y - 1)$ 
     $y \leftarrow \text{SUCC}(2r - x - 1)$ 
    if  $x \sim y < min$  then
10:   $min \leftarrow x \sim y$ 
     $y \leftarrow y - 1/\text{DENOMINATOR}(y)$ 
  until  $y \leq r - 1/2$ 
  return  $min$ 
```

Algorithm 2 Decides whether $r \in \mathcal{F}$

```
procedure ISFUSIBLE( $r$ )
  if  $r$  is not a dyadic rational then
    return false
  return  $r = \text{WEAKSUCC}(r)$ 
```

Algorithm 3 Finds $\min(\mathcal{F} \cap [r, \infty))$

```
procedure WEAKSUCC( $r$ )
  if  $r \leq 0$  then
    return 0
   $min \leftarrow \infty$ 
5:   $y \leftarrow r$ 
  repeat
     $x \leftarrow \text{WEAKSUCC}(2r - y - 1)$ 
    if  $x = 2r - y - 1$  then
      return  $r$ 
10:   $y \leftarrow \text{WEAKSUCC}(2r - x - 1)$ 
    if  $y = 2r - x - 1$  then
      return  $r$ 
    if  $x \sim y < min$  then
       $min \leftarrow x \sim y$ 
15:   $y \leftarrow y - 1/\text{DENOMINATOR}(y)$ 
  until  $y < r - 1/2$ 
  return  $min$ 
```

TABLE I
SOME ALGORITHMS FOR FUSIBLE NUMBERS.

belongs to \mathcal{F} . It is not clear a priori that these problems are computable, since there are usually infinitely many fusible numbers smaller than z , all of which might potentially be useful in building z or fusible numbers larger than z .

Table I shows a recursive algorithm $\text{SUCC}(r)$ for the slightly more general problem of, given $r \in \mathbb{Q}$, finding the smallest fusible number z that is strictly larger than r . It also shows a decision algorithm ISFUSIBLE , which relies on WEAKSUCC , a modification of SUCC .

Lemma II.14. *Algorithms SUCC , WEAKSUCC , and ISFUSIBLE terminate on all inputs and return the correct output.*

Proof. Consider algorithm SUCC . Given $r \in \mathbb{Q}$, let $z = s(r)$ be the smallest fusible number larger than r . If $r < 1/2$ the claim is easy to verify, so assume $r \geq 1/2$. We must have $z = x \sim y$ for some $x, y \in \mathcal{F}$ satisfying $r - 1 < x \leq y \leq r$ and $y > r - 1/2$. We will show that algorithm tries all the relevant candidates for x, y by increasing value of x and decreasing value of y , and that there is a finite number of such candidates.

The following invariant is true at the beginning of the loop (line 6): The variable y holds either r or a fusible number smaller than r , and if $y < r$ then the variable min holds the smallest fusible number $z > r$ of the form $z = x' \sim y'$ with $y < y' \leq r$. Then, line 7 increases x to the next possible candidate, and line 8 sets y to the fusible number that minimizes $z' = x \sim y$ subject to $z' > r$.

Line 8 never sets y larger than its previous value y_{old} : We have $2r - x - 1 < 2r - (2r - y_{\text{old}} - 1) - 1 = y_{\text{old}}$,

so if $y_{\text{old}} < r$ then y_{old} is fusible, and hence (assuming that the recursive call in line 8 returns the correct value) line 8 sets y to $s(2r - x - 1) \leq y_{\text{old}}$. Further, as we prove below, the recursive call on line 8 never returns a value larger than r .

In addition, by Lemma II.13, line 11 replaces the successor fusible number y by its predecessor, so the algorithm does not miss any candidate.

We still have to prove that the recursive calls on lines 7, 8 return the correct values $s(2r - y - 1)$, $s(2r - x - 1)$. This will follow by ordinal induction once we show that these values are smaller than z . For this, first note that at the beginning of the loop we always have $y > r - 1/2$, which implies $2r - y - 1 < r - 1/2$. Since every interval of length $1/2$ contains a fusible number, we have $s(2r - y - 1) < r$. Hence, by ordinal induction line 7 indeed sets x to $s(2r - y - 1)$.

Next, recall that at the beginning of the loop we always have $y \leq r$. Hence, after line 7 we have $x > 2r - y - 1 \geq r - 1$. Denote $m = x + 1 - r$, so $0 < m < 1$. By the window lemma (Lemma II.2) there exists a fusible number in the interval $(x + 1 - 2m, x + 1 - m]$. But $x + 1 - 2m = 2r - x - 1$ and $x + 1 - m = r$. Hence, by ordinal induction line 8 correctly sets y to $s(2r - x - 1)$, which is at most r .

Finally, the loop must end after a finite number of iterations, or else we would have an infinite descending sequence of fusible numbers.

The proof for the other two algorithms is similar. Lines 2, 3 in ISFUSIBLE are only for efficiency purposes. \square

In algorithm SUCC , the first candidate $x \sim y$ is not

always the best one. Consider for example $r = 33/16 \in \mathcal{F}$. Its successor is $r+1/4096 = 8449/4096 = 19/16 \sim 3969/2048$, but the first candidate SUCC tries is $r+1/2048 = 9/8 \sim 2049/1024$.

Running time?: Somewhat strangely, although the fact that \mathcal{F} is well-ordered implies that these algorithms terminate, it does not give any concrete upper bound for their running time. We will prove below that, already for $r \in \mathbb{N}$, algorithm SUCC(r) has an incredibly large running time in the size of the input. On the other hand, we have not been able to rule out the possibility that algorithms WEAKSUCC and ISFUSIBLE run in polynomial time in the size of the input. These two latter algorithms terminate very quickly when $r \in \mathbb{N}$.

III. BACKGROUND ON ORDINALS

The ordinal ε_0 is the limit of $\omega, \omega^\omega, \omega^{\omega^\omega}, \dots$. Each ordinal $\alpha < \varepsilon_0$ can be uniquely written in *Cantor Normal Form* (CNF) as $\alpha = \omega^{\alpha_1} + \dots + \omega^{\alpha_k}$, for some $k \geq 0$ and some ordinals $\alpha_1, \dots, \alpha_k$ satisfying $\alpha > \alpha_1 \geq \alpha_2 \geq \dots \geq \alpha_k$. Alternatively, α can be uniquely written in *weighted CNF* as $\alpha = \omega^{\alpha_1} n_1 + \dots + \omega^{\alpha_k} n_k$, for some $k \geq 0$, some ordinals $\alpha > \alpha_1 > \dots > \alpha_k$, and some positive integers n_1, \dots, n_k .

Given a limit ordinal $\alpha < \varepsilon_0$, the *canonical sequence* $[\alpha]_n$ for $n \geq 1$ is a sequence that converges to α . It is defined as follows: For limit $\beta < \omega^{\alpha+1}$ we let $[\omega^\alpha + \beta]_n = \omega^\alpha + [\beta]_n$. We let $[\omega^{\alpha+1}]_n = \omega^\alpha n$. And for limit α we let $[\omega^\alpha]_n = \omega^{[\alpha]_n}$. For example, $[\omega^{\omega+2}7]_5 = \omega^{\omega+2}6 + \omega^{\omega+1}5$.

Let us define for successor ordinals $[\alpha+1]_n = \alpha$, independently of n . A *canonical descent* is a sequence $\alpha_1 > \alpha_2 > \dots > \alpha_k$ in which $\alpha_{i+1} = [\alpha_i]_{n_i}$ for each i , for some parameters n_i . We call the parameters n_i the *descent parameters*.

For each pair of ordinals $\beta < \alpha < \varepsilon_0$ there exists a canonical descent starting at α and ending at β . If there exists such a descent in which all the descent parameters are bounded by k , then we write $\alpha \rightarrow_k \beta$. Given α, β , the shortest canonical descent from α to β can be found greedily, by choosing at each step the smallest descent parameter that gives an ordinal at least β . This is implied by the following lemma:

Lemma III.1 (Bachmann property [20], [21]). *Let $\alpha, \beta < \varepsilon_0$ be limit ordinals satisfying $[\alpha]_n < \beta < \alpha$. Then $[\beta]_1 \geq [\alpha]_n$ and $[\beta]_2 > [\alpha]_n + 1$.*

Proof. Without loss of generality we can assume α consists of a single term. Then we use induction on the height of α . \square

Hence, if $[\alpha]_n < \beta < \alpha$ (in particular, if $\beta = [\alpha]_m$ for some $m > n$), then every canonical descent from β to 0 must contain $[\alpha]_n$. Furthermore, if all the descent

parameters are at least 2, then the canonical descent must contain $[\alpha]_n + 1$ as well.

We will need the following lemma:

Lemma III.2 (Hiccup lemma). *Let $\alpha, \beta < \varepsilon_0$ be limit ordinals satisfying $\beta < \omega^\alpha$, and let $k \geq 2$. If $\beta = \omega^{[\alpha]_n+1}$ then we have $[\omega^{[\alpha]_n} + \beta]_k = \omega^{[\alpha]_n} + [\beta]_{k-1}$.⁵ For every other β we have $[\omega^{[\alpha]_n} + \beta]_k = \omega^{[\alpha]_n} + [\beta]_k$.*

Proof. If $\beta < \omega^{[\alpha]_n+1}$ then the expression “ $\omega^{[\alpha]_n} + \beta$ ” is in CNF, so the claim follows by the definition of the canonical sequence. If $\beta = \omega^{[\alpha]_n+1}$ the claim is immediate. Hence, suppose $\beta > \omega^{[\alpha]_n+1}$, so $\omega^{[\alpha]_n} + \beta = \beta$. If β consists of at least two terms then the first term is unchanged in $[\beta]_k$, and the claim follows. Finally, if $\beta = \omega^\gamma$ for some $[\alpha]_n < \gamma < \alpha$ then we consider separately the cases where γ is a successor or a limit ordinal. We make use of the Bachmann property (Lemma III.1) in the latter case, using the fact that $k \geq 2$. \square

Given $n \geq 0$, denote $\tau_n = \omega^{\omega^{\dots \omega}}$ with height n . In other words, $\tau_0 = 1$, and $\tau_{n+1} = \omega^{\tau_n}$ for $n \geq 0$.

Left-subtraction of ordinals: Given $\alpha \geq \beta$, define $-\beta + \alpha$ as the unique ordinal γ such that $\beta + \gamma = \alpha$. In other words, $-\beta + \alpha = \text{ord}(\alpha \setminus \beta)$ under von Neumann’s definition of ordinals. For example, $-(\omega^\omega + \omega^3 \cdot 2) + (\omega^\omega + \omega^4) = \omega^4$, and $-5 + \omega = \omega$. In general, for every $\alpha < \omega^\beta$ we have $-\alpha + \omega^\beta = \omega^\beta$. Left-subtraction satisfies $-(\beta + \gamma) + \alpha = -\gamma + (-\beta + \alpha)$; and $-\beta + (\gamma + \alpha) = (-\beta + \gamma) + \alpha$ whenever $\gamma \geq \beta$.

A. Natural sum and product

Let $\alpha = \omega^{\alpha_1} + \dots + \omega^{\alpha_n}$ and $\beta = \omega^{\beta_1} + \dots + \omega^{\beta_m}$ be two ordinals in Cantor normal form, with $m, n \geq 0$ and $\alpha_1 \geq \dots \geq \alpha_n$ and $\beta_1 \geq \dots \geq \beta_m$. Then their *natural sum* is given by

$$\alpha \oplus \beta = \omega^{\gamma_1} + \dots + \omega^{\gamma_{n+m}},$$

where $\gamma_1, \dots, \gamma_{n+m}$ are $\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_m$ sorted in nonincreasing order. For example, if $\alpha = \omega^3 + \omega$ and $\beta = \omega^4 + \omega + 1$, then $\alpha \oplus \beta = \omega^4 + \omega^3 + \omega^2 + 1$ (whereas $\alpha + \beta = \omega^4 + \omega + 1$ and $\beta + \alpha = \omega^4 + \omega^3 + \omega$). The natural sum is commutative and associative.

Let A and B be two disjoint well-ordered sets, with respective well-orders $<_A$ and $<_B$. Define the partial order \prec on $C = A \cup B$ by $x \prec y$ whenever $x, y \in A$ with $x <_A y$, or $x, y \in B$ with $x <_B y$. Let α and β be the order types of A and B , respectively. There might be several ways of *linearizing* the partial order of C , i.e. extending it into a total order. The largest possible order type of such a linearization equals $\alpha \oplus \beta$ (de Jongh and Parikh [22]).

⁵Note that if $\beta \geq \omega^{[\alpha]_n+1}$ then $\omega^{[\alpha]_n} + \beta = \beta$.

Let $\alpha = \omega^{\alpha_1} + \dots + \omega^{\alpha_n}$ and $\beta = \omega^{\beta_1} + \dots + \omega^{\beta_m}$ as before. Then their *natural product* is given by

$$\alpha \otimes \beta = \bigoplus_{i,j} \omega^{\alpha_i \oplus \beta_j}.$$

The natural product is commutative and associative, and it distributes over the natural sum. If $\alpha > \beta$ then $\gamma \oplus \alpha > \gamma \oplus \beta$, and if $\gamma > 0$ then also $\gamma \otimes \alpha > \gamma \otimes \beta$.

Let A and B be well-ordered sets, with respective well-orders $<_A$ and $<_B$. Define the partial order \prec on $C = A \times B$ by $(a_1, b_1) \prec (a_2, b_2)$ whenever both $a_1 <_A a_2$ and $b_1 <_B b_2$. Then the largest possible order type of a linearization of C equals $\alpha \otimes \beta$ [22].

B. The fast-growing hierarchy

Here we largely follow Buchholz and Wainer [23]. The *fast-growing hierarchy* is a family of functions $F_\alpha : \mathbb{N}^+ \rightarrow \mathbb{N}^+$ indexed by ordinals α , where α ranges up to some large countable ordinal. It has the property that for $\beta > \alpha$, the function $F_\beta(n)$ grows much faster than $F_\alpha(n)$. In fact, for every fixed k , the function $F_\beta(n)$ eventually overtakes $F_\alpha^{(k)}(n)$, where $f^{(k)} = f \circ f \circ \dots \circ f$ denotes the k -fold composition of the function f .

The hierarchy up to ε_0 is known as the *Wainer hierarchy*. It is defined as follows:

$$\begin{aligned} F_0(n) &= n + 1, \\ F_{\alpha+1}(n) &= F_\alpha^{(n)}(n), \\ F_\alpha(n) &= F_{[\alpha]_n}(n), \quad \text{for limit } \alpha < \varepsilon_0. \end{aligned}$$

The well-known *Ackermann function* $A(n)$ corresponds to F_ω in this hierarchy, in the sense that $F_\omega(n - c) \leq A(n) \leq F_\omega(n + c)$ for some constant c .

The fast-growing hierarchy is related to the *Hardy hierarchy*, which is defined as follows:

$$\begin{aligned} H_0(n) &= n, \\ H_{\alpha+1}(n) &= H_\alpha(n + 1), \\ H_\alpha(n) &= H_{[\alpha]_n}(n), \quad \text{for limit } \alpha < \varepsilon_0. \end{aligned}$$

Lemma III.3. *For every $\alpha < \varepsilon_0$ and every n we have $F_\alpha(n) = H_{\omega^\alpha}(n)$.*

Proof. It follows by ordinal induction on β that for every $\alpha = \omega^{\alpha_1} + \dots + \omega^{\alpha_k}$ in CNF and every $\beta < \omega^{\alpha_k+1}$, we have $H_{\alpha+\beta}(n) = H_\alpha(H_\beta(n))$. Then the claim follows by ordinal induction on α . \square

Lemma III.4. *Let $\alpha < \varepsilon_0$. Then:*

- H_α is strictly increasing.
- Suppose $\alpha \rightarrow_k \beta$. Then $H_\alpha(n) > H_\beta(n)$ for every $n > k$.
- The same properties hold for the functions F_α .

Proof. The first two claims are proven simultaneously by ordinal induction on α . Then the third claim follows by Lemma III.3. \square

Finally, we top the hierarchy by defining $F_{\varepsilon_0}(n) = F_{\tau_n}(n)$. Hence, $F_{\varepsilon_0}(n)$ eventually overtakes $F_\alpha(n)$ for every $\alpha < \varepsilon_0$.

Lemma III.5. *Let $z(n) = H_{\tau_n}(2)$. Then $z(n) > F_{\varepsilon_0}(n - 2)$ for every $n \geq 3$.*

Proof. We first observe the following: Suppose $\alpha \rightarrow_2 \beta$, and let r be the number of successor ordinals in the canonical descent from α to β always using descent parameter 2. Then $H_\alpha(n) \geq H_\beta(n + r)$ for every $n \geq 2$. Indeed, this follows by repeated application of the definition of H and Lemma III.4.

Now consider the particular case $\alpha = \tau_{n+1}$, $\beta = \tau_n$. In this case, the canonical descent from α to β contains at least n successor ordinals: Consider the ordinals $\gamma_i = \delta_{i,i-1}$ for $1 \leq i \leq n$, where $\delta_{i,0} = \tau_{n-i+1} + 1$ and $\delta_{i,j+1} = \omega^{\delta_{i,j}}$. (For example, for $n = 3$ we have $\gamma_1 = \omega^{\omega^\omega} + 1$, $\gamma_2 = \omega^{\omega^\omega+1}$, $\gamma_3 = \omega^{\omega^{\omega+1}}$.) Then the descent from α to β contains $\gamma_n + 1$ for each $i = n, \dots, 2$, as well as γ_1 .

Hence, $z(n) = H_{\tau_n}(2) \geq H_{\tau_{n-1}}(n) = F_{\tau_{n-2}}(n) > F_{\tau_{n-2}}(n - 2) = F_{\varepsilon_0}(n - 2)$. \square

Hence, even though for fixed $\alpha < \varepsilon_0$, the function H_α grows slower than F_α , once we ‘‘columnize’’ by taking $\alpha = \tau_n$, the rates of growth of both functions match up. This phenomenon on F and H suggests the following general ‘‘rule of thumb’’: Whenever some function f_α indexed on ordinals $\alpha < \varepsilon_0$ is defined recursively using canonical sequences $[\alpha]_n$ where n can grow arbitrarily large, if the function manages to ‘‘take off’’ (i.e. it is not trivially bounded by, say, a constant), then we should expect it to grow like F_{ε_0} .

IV. LOWER BOUNDS: TAME FUSIBLE NUMBERS

In this section we prove the lower bound $\text{ord}(\mathcal{F}) \geq \varepsilon_0$ of Theorem I.1, as well as Theorem I.2. For this we consider a subset $\mathcal{F}' \subset \mathcal{F}$ of fusible numbers, which we call *tame fusible numbers*.

\mathcal{F}' is defined from the bottom up by transfinite induction. At each stage of the induction, an initial segment \mathcal{H} of the current \mathcal{F}' is marked as ‘‘used’’. The following invariant is kept throughout the construction process: If $x = \min(\mathcal{F}' \setminus \mathcal{H})$ is the smallest unused element, then $\mathcal{F}' \cap [0, x + 1)$ has already been defined, and $x + 1$ is a limit point in this set.

We start by letting $\mathcal{F}' \cap [0, 1) = \{1 - 2^{-n} : n \in \mathbb{N}\}$ and $\mathcal{H} = \emptyset$. Now let $x = \min(\mathcal{F}' \setminus \mathcal{H})$ be the smallest unused element, and suppose by induction that $\mathcal{F}' \cap [0, x + 1)$ has already been defined, and that $x + 1$ is a limit point in this set. Let y be the successor of x in \mathcal{F}' , and let $m = y - x$. We define $\mathcal{F}' \cap [x + 1, y + 1)$ by taking the interval $\mathcal{F}' \cap I_0$ for $I_0 = [x + 1 - m, x + 1)$ (which has already been defined), and applying to it ‘‘ $y \sim$ ’’, obtaining $\mathcal{F}' \cap I_1$ for $I_1 = [x + 1, x + 1 + m/2)$, and



Fig. 3. Constructing the tame fusible numbers.

then applying “ $y \sim$ ” to this latter set to obtain $\mathcal{F}' \cap I_2$ for $I_2 = [x+1+m/2, x+1+3m/4]$, and so on. In general, denoting $I_n = [y+1-m/2^{n-1}, y+1-m/2^n]$ for $n \in \mathbb{N}$, each interval $\mathcal{F}' \cap I_{n+1}$ is a scaled-down copy of $\mathcal{F}' \cap I_n$ obtained by applying “ $y \sim$ ”. For each $n \in \mathbb{N}$ let $\ell_n = y+1-m/2^{n-1}$ be the left endpoint of I_n . We next add ℓ_n for each $n \geq 1$ to \mathcal{F}' , if these elements are not already there. (We will prove later on that this operation is unnecessary since we always have $\ell_0 \in \mathcal{F}'$.) Finally, we add x to \mathcal{H} and repeat.

At the end of the transfinite induction, $\mathcal{F}' \cap [0, \infty)$ is defined, and $\mathcal{H} = \mathcal{F}'$. See Figure 3. The set \mathcal{F}' is closed by construction, thanks to the addition the endpoints ℓ_n in the construction.

Not all fusible numbers are tame. The smallest “wild” fusible number that we know of is 8449/4096.

The recursive algorithm TAMESUCC(r) shown in Table II returns the smallest tame fusible number larger than r , and M is a concise algorithm that returns the difference TAMESUCC(r) $- r$.

Lemma IV.1. *Given $z \in \mathcal{F}'$, let $\alpha = \text{ord}(\mathcal{F}' \cap [0, z])$ and let $\beta = \text{ord}(\mathcal{F}' \cap [0, z+1])$. Then $\beta = \omega^{1+\alpha}$. (Hence, for $z \geq 1$ we have $\beta = \omega^\alpha$.)*

Proof. By transfinite induction on α . If $\alpha = 0$ then $z = 0$ and $\beta = \omega$. Next, suppose that $\alpha = \gamma + 1$ is a successor ordinal, and let y be the predecessor of z in \mathcal{F}' . Assume by induction that $\text{ord}(\mathcal{F}' \cap [0, y+1]) = \omega^{1+\gamma}$. Let $m = z - y$. Consider the intervals $I_n = [z+1-2^{-(n-1)}m, z+1-2^{-n}m]$ for $n \in \mathbb{N}$. Let $\mathcal{G} = \mathcal{F}' \cap I_0$. We have $\text{ord}(\mathcal{G}) = -\delta + \omega^\gamma$ for some $\delta < \omega^\gamma$, so $\text{ord}(\mathcal{G}) = \omega^\gamma$. Since $[y+1, z+1]$ contains ω -many copies of \mathcal{G} , we have

$$\text{ord}(\mathcal{F}' \cap [0, z+1]) = \omega^{1+\gamma} + \omega^{1+\gamma}\omega = \omega^{1+\gamma+1} = \omega^{1+\alpha},$$

as desired.

Finally, suppose α is a limit ordinal. For every $\beta < \alpha$ there exists a corresponding fusible number $y < z$, which by induction satisfies $\text{ord}(\mathcal{F}' \cap [0, y+1]) = \omega^{1+\beta}$. Furthermore, since \mathcal{F}' is closed, as $\alpha \rightarrow \beta$ we have $y \rightarrow z$. Hence, $\text{ord}(\mathcal{F}' \cap [0, z+1]) = \lim_{\beta < \alpha} \omega^{1+\beta} = \omega^{1+\alpha}$. \square

Hence, for every positive integer n we have $\text{ord}(n) \geq \tau_n$, and $\text{ord}(\mathcal{F}') = \varepsilon_0$, proving the lower bound of Theorem I.1.

A. Recurrence relations for the gaps

Given $z \in \mathcal{F}'$, define $\text{ord}'(z) = 1 + \text{ord}(\mathcal{F}' \cap [0, z])$. Hence, by Lemma IV.1 we have $\text{ord}'(z+1) = \omega^{\text{ord}'(z)}$ for all z , and for $z \geq 1$ the “1+” has no effect. Given $0 < \alpha < \varepsilon_0$, define $\text{fus}'(\alpha)$ as the unique $z \in \mathcal{F}'$ with $\text{ord}'(z) = \alpha$, and define

$$\begin{aligned} m(\alpha) &= \text{fus}'(\alpha+1) - \text{fus}'(\alpha), \\ d(\alpha) &= -\log_2 m(\alpha). \end{aligned}$$

Hence, d is a function from ordinals to natural numbers. Our objective is to show that $d(\alpha)$ experiences F_{ε_0} -like growth. For this, we first need to derive recurrence relations for d . Unfortunately, the recurrence relations are quite complex, as they involve an auxiliary function $\chi(\alpha)$, which is itself defined recursively in terms of χ and d .

Lemma IV.2. *Let $\gamma < \varepsilon_0$ be a limit ordinal, and let $y = \text{fus}'(\gamma) - m(\gamma)$. Then $y \in \mathcal{F}'$, and furthermore, $\text{ord}'(y) = [\gamma]_{\chi(\gamma)}$ for some integer $\chi(\gamma)$. Specifically, $\chi(\gamma)$ is given recursively as follows:*

$$\begin{aligned} \chi(\omega^\alpha(k+1) + \beta) &= \chi(\omega^\alpha + \beta), & k \geq 1, \beta < \omega^\alpha \text{ limit}; \\ \chi(\omega^\alpha + \beta) &= \chi(\omega^{[\alpha]_{\chi(\alpha)}} + \beta),^8 & \alpha, \beta \text{ limits}, \beta < \omega^\alpha, \beta \neq \omega^{[\alpha]_{\chi(\alpha)}+1}; \\ \chi(\omega^\alpha + \omega^{[\alpha]_{\chi(\alpha)}+1}) &= \chi(\omega^{[\alpha]_{\chi(\alpha)}+1}) - 1, & \alpha \text{ limit}; \\ \chi(\omega^{\alpha+1} + \beta) &= \chi(\omega^{\alpha+2} + \beta), & \beta < \omega^{\alpha+1} \text{ limit}; \\ \chi(\omega^{\alpha+1}(k+1)) &= \chi(\omega^{\alpha+1}) - 2, & k \geq 1; \\ \chi(\omega^{\alpha+1}) &= d(\omega^{\alpha+1}) - d(\alpha) + 1; \\ \chi(\omega^\alpha k) &= d(\omega^\alpha) - d(\alpha) + \chi(\alpha), & k \geq 1, \alpha \text{ limit}. \end{aligned}$$

⁸Note that if β is large enough then $\omega^{[\alpha]_{\chi(\alpha)}} + \beta = \beta$.

Algorithm 4 Finds $\min(\mathcal{F}' \cap (r, \infty))$

```
procedure TAMESUCC( $r$ )
  if  $r < 0$  then
    return 0
   $x \leftarrow$  TAMESUCC( $r - 1$ )
5:  $y \leftarrow$  TAMESUCC( $2r - x - 1$ )
  return  $x \sim y$ 
```

Algorithm 5 Computes $\text{TAMESUCC}(r) - r$

```
procedure M( $r$ )
  if  $r < 0$  then
    return  $-r$ 
  return  $M(r - M(r - 1))/2$ 
```

TABLE II
ALGORITHMS FOR TAME FUSIBLE NUMBERS.

Moreover for every $n \geq 0$ we have $\text{fus}'([\gamma]_{\chi(\gamma)+n}) = \text{fus}'(\gamma) - m(\gamma)/2^n$.

See Figure 4. Lemma IV.2 implies that, in the construction of \mathcal{F}' , we always have $\ell_0 \in \mathcal{F}'$, so the addition of the numbers ℓ_n , $n \geq 1$ to \mathcal{F}' was unnecessary. Lemma IV.2 is proven by a case analysis; see the full version.

Now we can state the recurrence relation for d :

Lemma IV.3. *Let $0 < \gamma < \varepsilon_0$. Then $d(\gamma)$ is given recursively by:*

$$\begin{aligned} d(1) &= 1; \\ d(\alpha + 1) &= 1 + d(\alpha); \\ d(\omega^\alpha \cdot (k + 1) + \beta) &= k + d(\omega^\alpha + \beta), \\ &\hspace{15em} \beta < \omega^\alpha; \\ d(\omega^{\alpha+1} + \beta) &= 1 + d(\omega^\alpha 2 + \beta), \quad \beta < \omega^{\alpha+1}; \\ d(\omega^\alpha + \beta) &= 1 + d(\omega^{[\alpha]_{\chi(\alpha)}} + \beta), \\ &\hspace{15em} \alpha \text{ limit, } \beta < \omega^\alpha. \end{aligned}$$

Lemma IV.3 is proven by a similar case analysis; see the full version.

Let us illustrate Lemmas IV.2 and IV.3 by sketching the computation of $d(\omega^{\omega^\omega})$. We have $d(\omega^\omega) = 10$ and $\chi(\omega^\omega) = 11$. More generally, for $n \geq 1$ we have

$$d(\omega^{\omega^n}) = n + 9 + 4c_1 + 5c_2 + \dots + (n + 2)c_{n-1},$$

where $c_0 = 2$, and $c_n = 1 + (n + 1)c_{n-1}$ for $n \geq 1$. Hence, $d(\omega^{\omega^n})$ grows with n roughly like $n!$. The precise value of $d(\omega^{\omega^\omega})$ is $d(\omega^{\omega^\omega}) = 1 + d(\omega^{\omega^{11}}) = 1541023937$.

Even though function $d(\alpha)$ does not exactly fit the above-mentioned “rule of thumb” (as it just takes an ordinal as input), we do see that it “takes off” nicely, so we would expect it to grow like F_{ε_0} . The analysis is done in the next subsection.

B. Growth rate

We now prove that there exists a constant c such that $d(\tau_n) \geq F_{\varepsilon_0}(n - c)$ for all large enough n . We achieve this by bounding d from below in terms of the function H , which is easier to work with.

Lemma IV.4. *For every α we have $d(\omega^\alpha) > d(\alpha)$.*

Proof. Immediate from the construction of \mathcal{F}' . \square

Corollary IV.5. *For every limit α we have $\chi(\omega^\alpha) > \chi(\alpha)$.*

Proof. By the last recurrence of Lemma IV.2. \square

Observation IV.6. *Starting from an expression of the form $d(\omega^\gamma)$, repeated application of Lemma IV.3 yields expressions of the form $n_k + d(\omega^{\gamma_k})$, where $\gamma_1, \gamma_2, \dots$ is a canonical descent starting from γ , each $n_{k+1} \in \{n_k + 1, n_k + 2\}$, and the descent parameter at step k is $\chi(\gamma_{k-1})$.*

Lemma IV.7. *For every α we have $d(\omega^{\omega^\alpha}) \geq 2d(\omega^\alpha)$.*

Proof. By Observation IV.6 and ordinal induction on α . Suppose first that α is a limit ordinal. Then by Lemma IV.3 we have $d(\omega^\alpha) = 1 + d(\omega^{[\alpha]_{\chi(\alpha)}})$, whereas $d(\omega^{\omega^\alpha}) = 1 + d(\omega^{\omega^{[\alpha]_{\chi(\alpha)}}})$ for $n = \chi(\omega^\alpha) > \chi(\alpha)$. By the Bachmann property (Lemma III.1), the canonical descent from $\omega^{[\alpha]_n}$ must go through $\omega^{[\alpha]_{\chi(\alpha)}}$. Hence, using induction on α ,

$$d(\omega^{\omega^\alpha}) \geq 2 + d(\omega^{\omega^{[\alpha]_{\chi(\alpha)}}}) \geq 2 + 2d(\omega^{[\alpha]_{\chi(\alpha)}}) = 2d(\omega^\alpha).$$

Similarly, for a successor ordinal, denoting $n = \chi(\omega^{\alpha+1})$,

$$\begin{aligned} d(\omega^{\omega^{\alpha+1}}) &= 1 + d(\omega^{\omega^\alpha n}) \geq 4 + d(\omega^{\omega^\alpha}) \\ &\geq 4 + 2d(\omega^\alpha) = 2d(\omega^{\alpha+1}), \end{aligned}$$

since the canonical descent from $\omega^\alpha n$ must go through ω^α , and it must take at least three steps. \square

Corollary IV.8. *For every α we have $\chi(\omega^{\omega^\alpha}) \geq d(\omega^\alpha)$.*

Proof. Substituting into the last recurrence of Lemma IV.2. \square

Lemma IV.9. *Define*

$$f_{\beta, \alpha}(n) = d(\omega^{\omega^{\omega^{\beta + \omega^\alpha n}}}).$$

Then $f_{\beta, \alpha}(n) \geq H_\alpha(n)$ for all β .

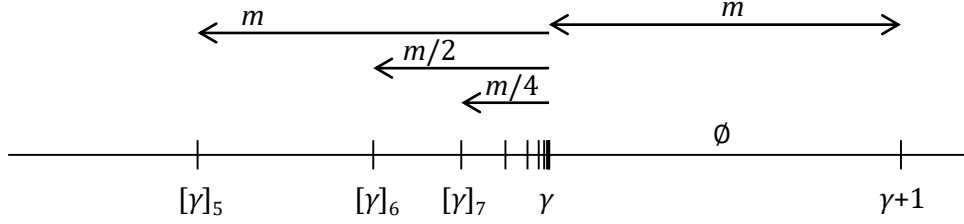


Fig. 4. Illustration for Lemma IV.2. We have $\chi(\gamma) = 5$ in this example.

Proof. By ordinal induction on α . For $\alpha = 0$ we have

$$f_{\beta,0}(n) = d(\omega^{\omega^{\beta+n}}) \geq n = H_0(n),$$

by Observation IV.6.

Next, suppose the claim is true for α . Then

$$\begin{aligned} f_{\beta,\alpha+1}(n) &= d(\omega^{\omega^{\beta+\omega^{\alpha+1}n}}) \\ &= 1 + d(\omega^{\omega^{\beta+\omega^{\alpha+1}(n-1)+\omega^\alpha m}}), \end{aligned}$$

where

$$m = \chi(\omega^{\beta+\omega^{\alpha+1}n}) \geq d(\omega^{\beta+\omega^{\alpha+1}n}) \geq n + 1.$$

Hence, letting $\beta' = \beta + \omega^{\alpha+1}(n-1) + \omega^\alpha(m-n-1)$,

$$\begin{aligned} f_{\beta,\alpha+1}(n) &\geq d(\omega^{\omega^{\beta'+\omega^\alpha(n+1)}}) = f_{\beta',\alpha}(n+1) \\ &\geq H_\alpha(n+1) = H_{\alpha+1}(n). \end{aligned}$$

Finally, let α be a limit ordinal, and suppose the claim is true for all $\alpha' < \alpha$. Then

$$f_{\beta,\alpha}(n) = d(\omega^{\omega^{\beta+\omega^\alpha n}}) = 1 + d(\omega^{\omega^{\beta+\omega^\alpha(n-1)+\omega^{[\alpha]m}}}),$$

where $m \geq n+1$ as before. By the Bachmann property, any canonical descent from $[\alpha]_m$ must go through $[\alpha]_{n+1}$. Hence,

$$\begin{aligned} f_{\beta,\alpha}(n) &\geq d(\omega^{\omega^{\beta+\omega^\alpha(n-1)+\omega^{[\alpha]_{n+1}}}}) \\ &= 1 + d(\omega^{\omega^{\beta+\omega^\alpha(n-1)+\omega^{[\alpha]_n p}}}), \end{aligned}$$

where $p \geq n$ as before. Hence, letting $\beta' = \beta + \omega^\alpha(n-1) + \omega^{[\alpha]_n}(p-n)$, we have

$$\begin{aligned} f_{\beta,\alpha}(n) &\geq d(\omega^{\omega^{\beta'+\omega^{[\alpha]_n n}}}) = f_{\beta',[\alpha]_n}(n) \\ &\geq H_{[\alpha]_n}(n) = H_\alpha(n). \end{aligned}$$

$\tau_{n-4}2$. Hence, by Observation IV.6 and Lemma III.5 we have

$$\begin{aligned} d(\tau_n) &= d(\omega^{\tau_{n-1}}) \geq d(\omega^\gamma) = f_{0,\tau_{n-5}}(2) \\ &\geq H_{\tau_{n-5}}(2) \geq F_{\varepsilon_0}(n-7). \end{aligned}$$

□

Lemma IV.11. *If $\alpha > \tau_n$ then $d(\alpha) > d(\tau_n)$.*

See the full version for the proof.

Corollary IV.10 together with Lemma IV.11 implies Theorem I.2. This in turn implies a similar lower bound for the running time of the algorithms TAMESUCC and SUCC, since in order to output a fraction with denominator 2^d , the recursion depth must be at least d .

V. UPPER BOUND

Here we show that $\text{ord}(\mathcal{F}) \leq \varepsilon_0$.

Lemma V.1. *Let $\mathcal{G}_1, \mathcal{G}_2 \subset \mathbb{R}$ be two well-ordered sets, and let $\mathcal{H} = \{x \sim y : x \in \mathcal{G}_1, y \in \mathcal{G}_2, |y-x| < 1\}$. Then $\text{ord}(\mathcal{H}) \leq \text{ord}(\mathcal{G}_1) \otimes \text{ord}(\mathcal{G}_2)$.*

Proof. By the above-mentioned result of de Jongh and Parikh [22]. □

Lemma V.2. *Let $y, z \in \mathcal{F}$ satisfy $z = s(y)$. Suppose $\text{ord}(y+1) \leq \omega^\alpha$. Then $\text{ord}(z+1) \leq \omega^{\alpha+1}$.*

Proof. Let $J_1 = [0, y+1)$ and $J_2 = [y+1, z+1)$. Let $m = z - y$. Define the numbers $\ell_n = z + 1 - 2^{-(n-1)}m$ and the intervals $I_n = [\ell_n, \ell_{n+1})$ for $n \in \mathbb{N}$. Hence, $\ell_1 = y+1$, so the interval I_0 lies at the end of J_1 , while the intervals I_1, I_2, I_3, \dots disjointly cover J_2 . Given $n \in \mathbb{N}$, let $\mathcal{F}_n = \mathcal{F} \cap I_n$ and $\mathcal{G}_n = \mathcal{F} \cap [0, \ell_{n+1})$, so $\mathcal{G}_{n+1} = \mathcal{G}_n \cup \mathcal{F}_{n+1}$. We will bound $\text{ord}(\mathcal{G}_n)$ by induction on n .

There is no fusible number between y and z , and already “ $z \sim$ ” sends ℓ_n into ℓ_{n+1} . Therefore, every $x \in \mathcal{F}_n$, $n \geq 1$ must be of the form $x = x_1 \sim x_2$ for $x_1, x_2 < \ell_n$. Therefore,

$$\text{ord}(\mathcal{F}_n) \leq \text{ord}(\mathcal{G}_{n-1}) \otimes \text{ord}(\mathcal{G}_{n-1}).$$

Furthermore, $\text{ord}(\mathcal{G}_{n+1}) = \text{ord}(\mathcal{G}_n) + \text{ord}(\mathcal{F}_{n+1})$. Hence, it follows by induction on n that $\text{ord}(\mathcal{F}_n)$, $\text{ord}(\mathcal{G}_n)$ are both bounded by $\omega^{\alpha+2^n}$ for every $n \geq 1$.

Corollary IV.10. *For every $n \geq 8$ we have $d(\tau_n) \geq F_{\varepsilon_0}(n-7)$.*

Proof. Any canonical descent from τ_{n-1} with descent parameter at least 2 must go through $\gamma = \omega^{\omega^\delta}$ for $\delta =$

Since $\omega^{\omega^{\alpha+1}} > \omega^{\omega^\alpha m}$ for every $m \in \mathbb{N}$, the claim follows. \square

Corollary V.3. *For every $z \in \mathcal{F}$ we have $\text{ord}(z+1) \leq \omega^{\omega^{\text{ord}(z)}}$.*

Proof. By transfinite induction on z , as in the proof of Lemma IV.1. \square

Hence, for every positive integer n we have $\text{ord}(n) \leq \tau_{2n-1}$, and $\text{ord}(\mathcal{F}) \leq \varepsilon_0$, proving the upper bound of Theorem I.1.

VI. RESULTS ON PEANO ARITHMETIC

A. Background

(For more details see e.g. Rautenberg [24].) *First-order arithmetic* is a formal language that includes the quantifiers \exists, \forall ; logical operators \wedge, \vee, \neg ; the constant symbol 0 ; variables x, y, z, \dots which represent natural numbers; the equality and inequality symbols $=, \neq$; the binary operators $+, \cdot$; and the unary operator S , which represents the operation of adding 1.

It is possible to encode within first-order arithmetic finite sequences of arbitrary length, in a way that enables access to each element of the sequence (this can be done e.g. using Gödel's β -function). Using finite sequences, it is possible to represent exponentiation, factorials, Fibonacci numbers, etc., as well as discrete structures, such as trees, graphs, etc. It is also possible to represent rational numbers and their arithmetical operations.

Once we have a representation of rational numbers, we can formulate the statement “the rational number x is fusible” as follows: “There exists a finite set S of rational numbers that includes x , and such that for every $w \in S$, either $w = 0$ or there exist $y, z \in S$ such that $|z - y| < 1$ and $w = y \sim z$.” And we can formulate the statement “algorithm TAMESUCC terminates on input r_0 outputting f_0 ” as follows: “There exists a finite set S of quadruples (r, x, y, f) that contains (r_0, x, y, f_0) for some x, y , and such that for every $(r, x, y, f) \in S$, if $r < 0$ then $f = 0$, otherwise $f = x \sim y$ and we have $(r-1, x', y', x), (2r-x-1, x'', y'', y) \in S$ for some x', y', x'', y'' .”

More generally, in first-order arithmetic we can represent Turing machines and formulate statements such as “Turing machine T halts on input x ”.

However, in first-order arithmetic it is not possible to quantify over all real numbers, nor over all infinite sequences or sets, as follows trivially from countability considerations (though one can formulate statements about specific real numbers such as π and e). Still, one can quantify over infinite sequences in which each element of the sequence is uniquely determined in some pre-defined way by the previous elements.

Peano Arithmetic is a theory for first-order arithmetic given by a set of axioms. The *PA-theorems* are those statements that can be derived from the axioms and from previously derived PA-theorems by the inference rules of first-order logic. Since the natural numbers satisfy the axioms, all PA-theorems are true. Moreover, by use of the inference rules, any “finitary” mathematical proof (involving only finite sets and structures) can be carried out step by step in PA. However, as Gödel showed, PA, like any formal system strong enough to represent basic arithmetic, is incomplete, in the sense that there exist true arithmetical statements that are not PA-theorems.

Unprovability in PA: There are finitary statements whose only obvious proofs involve infinitary arguments. Consider for example the following statement:

$$\begin{aligned} &\text{For every ordinal } \alpha < \varepsilon_0, \text{ the canonical} \\ &\text{descent } \{\alpha_n\} \text{ given by } \alpha_1 = \alpha \text{ and} \quad (1) \\ &\alpha_{n+1} = [\alpha_n]_n \text{ eventually reaches } 0. \end{aligned}$$

This statement can be formulated in first-order arithmetic. However, the obvious way to prove it is by arguing that the ordinals up to ε_0 are well-ordered, so, more generally, there exists no infinite descending sequence starting from α . Unfortunately, this latter statement involves quantification over infinite sequences, so it cannot be formulated in PA. Hence, there is no obvious way to prove (1) in PA. Incidentally, the simple proof given above does not give us any idea of how long the sequence $\{\alpha_n\}$ is when starting from, say, $\alpha = \tau_n$.

An important tool for showing true arithmetical formulas to be unprovable in PA is the following result by Buchholz and Wainer [23] (which they derived using the “cut-elimination” technique of Gentzen [25]):

Theorem VI.1 (Buchholz and Wainer [23]). *Let T be a Turing machine that computes a function $g : \mathbb{N} \rightarrow \mathbb{N}$, terminating on every input. Suppose that PA can prove the statement “ T terminates on every input.” Then g cannot grow too fast: There exist $\alpha < \varepsilon_0$ and $n_0 \in \mathbb{N}$ such that $g(n) < F_\alpha(n)$ for every $n \geq n_0$.*

Hence, statement (1) does not have a proof in PA, because the length of the canonical descent starting from $\alpha = \tau_n$ grows like $F_{\varepsilon_0}(n-c)$ for some c (as quickly follows by comparing to the definition of $H_\alpha(n)$). Hence, let T be a Turing machine that, given n , outputs the length of the canonical descent starting from τ_n . If PA could prove (1), it could also conclude that T halts on all inputs, contradicting Theorem VI.1.

B. Proof of Theorem I.3

We start by analyzing algorithms TAMESUCC and M within PA. For convenience, in this section we will denote the algorithm TAMESUCC by T .

Lemma VI.2. *PA cannot prove the statement “for every $n \in \mathbb{N}$, algorithm $M(n)$ terminates,” nor the statement “for every $n \in \mathbb{N}$, algorithm $T(n)$ terminates.”*

Proof. Let A be a Turing machine that, given n , outputs $1/M(n)$. If PA could prove that M terminates, it could conclude that A terminates as well. But this contradicts Theorem VI.1, in light of Corollary IV.10. The argument for T is similar. \square

Lemma VI.3. *PA can prove that for every $r \in \mathbb{Q}$, if $T(r)$ terminates then its output is a fusible number.*

Proof. We reason within PA. The general approach is to proceed by induction on the depth of the recursion. Suppose $T(r)$ terminates. Recall that for $r < 0$ the algorithm returns 0, whereas for $r \geq 0$ the algorithm recursively sets $x = T(r-1)$, $y = T(2r-x-1)$, and then outputs $x \sim y$. Denote $u = 2r-x-1$ for convenience.

All we need to prove is that for $r \geq 0$ we have $|y-x| < 1$, since then the claim follows immediately by induction. For $0 \leq r < 1/2$ we have $x = y = 0$. We will show that for $r \geq 1/2$ we in fact have $x < y < x+1$.

Claim VI.4. *If $T(r)$ terminates then it returns $T(r) > r$. If $r \geq -1/2$ then we also have $T(r) \leq r + 1/2$.*

Proof. If $r < 1/2$ the claim follows easily, so suppose $r \geq 1/2$. By induction we have $x = T(r-1) \leq r-1/2$, so $u \geq r-1/2 \geq 0$, and by induction we have $u < y \leq u+1/2$. Substituting this into $x \sim y$ yields the desired result. \square

Claim VI.4 implies that for $r \geq 1/2$ we have $x = T(r-1) \leq r-1/2$, so $y = T(2r-x-1) > 2r-x-1 \geq r-1/2 \geq x$.

Claim VI.5. *Suppose $T(r)$ terminates. Then:*

- (a) *If $r \geq 0$ then $y < x+1$.*
- (b) *For every $r < r' < T(r)$, $T(r')$ also terminates, outputting $T(r') = T(r)$. (Therefore, for every $r' > r$, if $T(r')$ terminates then $T(r') \geq T(r)$).*

Proof. We prove both properties jointly by induction on the recursion depth. Let us start with item (a). If $0 \leq r < 1/2$ then $x = 0, y = 0$ and we are done. Hence, suppose $r \geq 1/2$. Claim VI.4 implies $r-1 < x \leq r-1/2$, so $0 \leq u < r$. We know $T(u-1)$ terminates and $u-1 < r-1$, so by induction, item (b) implies $T(u-1) \leq T(r-1) = x$. The second recursive call in the computation of $T(u)$ is $v = T(2u-T(u-1)-1)$. By induction, item (a) implies $v < T(u-1) + 1$, so $y = T(u) = T(u-1) \sim v < T(u-1) \sim (T(u-1) + 1) = T(u-1) + 1 \leq x+1$, $T(r) = x \sim y < x+1 = T(r-1) + 1$, and $T(r) - 1 < T(r-1)$.

For item (b), if $r < 0$ the claim is immediate, so let $r \geq 0$ and let $r < r' < T(r)$. Then $r-1 < r' -$

$1 < T(r) - 1 < T(r-1)$, so by induction, item (b) implies that $T(r'-1)$ terminates outputting x . Next, let $u' = 2r' - x - 1$. Then:

$$\begin{aligned} u' - u &= 2(r' - r), \\ T(u) - u &= 2(T(r) - r). \end{aligned}$$

Therefore, $T(u) - u' = 2(T(r) - r')$, so $u' < T(u)$. Hence, $u < u' < T(u)$, so by induction, item (b) implies that $T(u')$ terminates outputting y . Hence, $T(r')$ terminates giving the same output as $T(r)$. \square

This finishes the proof of Lemma VI.3. \square

Lemma VI.6. *PA can prove that for every $r \in \mathbb{Q}$, if $T(r)$ does not terminate, then for every $n \in \mathbb{N}$ there exists a fusible number z_n satisfying $r < z_n < r + 2^{-n}$.*

Proof. We reason within PA. Suppose $T(r)$ does not terminate. Then there exists an infinite recursive-call sequence with inputs r_0, r_1, r_2, \dots starting with $r_0 = r$. Specifically, for each $n \geq 1$, either $r_n = r_{n-1} - 1$ and $T(r_n)$ does not terminate, or $T(r_{n-1} - 1)$ terminates outputting x_n , but $r_n = 2r_{n-1} - x_n - 1$ and $T(r_n)$ does not terminate. Either way, $r_n < r_{n-1}$.

The number of indices n for which $r_n = r_{n-1} - 1$ must be finite. Call this number d . We proceed by induction on d .

Suppose first that $d = 0$, so $r_n = 2r_{n-1} - x_n - 1$ for all n . Given $n \geq 1$, we know by Lemma VI.3 that x_n is fusible. Therefore, by the window lemma (Lemma II.2) there exists a fusible number $z_n \in (r_n, r_{n-1}]$. Let $z_{n-1} = x_n \sim z_n$. Then z_{n-1} is fusible, and $r_{n-1} < z_{n-1} \leq r_{n-2}$ (the lower bound follows from $z_n > r_n$, while the upper bound follows from $z_n \leq r_{n-1}$ and $x_n \leq x_{n-1}$, the latter of which follows from Claim VI.5 (b)). Furthermore, we have $z_n - r_n = 2(z_{n-1} - r_{n-1})$. In general, letting $z_i = x_{i+1} \sim z_{i+1}$ for each $i = n-1, \dots, 1, 0$, each z_i is fusible and satisfies $r_i < z_i \leq r_{i-1}$ and $z_i - r_i = 2(z_{i-1} - r_{i-1})$. But $z_n - r_n < r_{n-1} - r_n = x_n - (r_{n-1} - 1) < 1/2$ by Claim VI.4. Therefore, $z_0 - r_0 < 2^{-n-1}$, as desired.

Now suppose the claim is true whenever the number of indices with $r_n = r_{n-1} - 1$ is $d-1$, and suppose that in our sequence this number is d . Let n be smallest such index in our sequence. By induction, for each m there exists a fusible number z_m satisfying $r_n < z_m < r_n + 2^{-m}$. Then $z_m + 1$ is also fusible, and if m is large enough then it will fall in the range $(r_{n-1}, r_{n-2}]$. From here we proceed as before. \square

Hence, PA cannot prove the statement “for every $n \in \mathbb{N}$ there exists a smallest fusible number larger than n ,” because then PA would conclude by contradiction that $T(n)$ terminates for all $n \in \mathbb{N}$.

Therefore, since PA can prove Lemma II.11, it follows that PA cannot prove the statement “every fusible number has a maximum-height representation.”

VII. DISCUSSION

A main open problem is to derive an upper bound for the inverse-largest-gap $g(n)^{-1}$. We are also missing upper bounds for the running time of algorithms ISFUSIBLE and WEAKSUCC.

Experimentally, it seems that whenever a fusible number z has representations T_1 and T_2 with heights $h(T_1) < h(T_2)$, it also has representations of all intermediate heights. However, we have not been able to prove this.

ACA_0 is a subsystem of second-order arithmetic studied within the framework of reverse mathematics [26]. Since ACA_0 is a *conservative extension* of PA (meaning that the first-order statements provable in ACA_0 are exactly the PA-theorems), it follows that the second-order statements “ \mathcal{F} is well-ordered”, “algorithm TAMESUCC terminates on all real inputs”, and “algorithm M terminates on all real inputs” are unprovable in ACA_0 .

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