

7. Variations on a theme.

Another standard method for handling collisions in hash tables is to overflow colliding items into a smaller *global* secondary hash table. If we implement the secondary table recursively, we obtain an logarithmic sequence of hash tables, each a constant factor smaller than its parent. By making the table sizes successive powers of two, we can maintain the entire sequence in a single array.

Let $m = 2^r$ for some integer r . Our hash table consists of a single array $T[1..2m-1]$, which is notionally divided into subtables of the form $T[2^i..2^{i+1}-1]$ for each integer $0 \leq i \leq r$. For each index i , we use a separate hash function $h_i: \mathcal{U} \rightarrow [2^i]$. Here are the algorithms to insert and find an item x .

```

INSERT(x):
  for i ← r down to 0
    h ← hi(x) + 2i
    if T[h] = x
      return TRUE
    else if T[h] = ∅
      T[h] ← x
      return TRUE
  return FALSE

```

```

FIND(x):
  for i ← r down to 0
    h ← hi(x) + 2i
    if T[h] = x
      return TRUE
    else if T[h] = ∅
      return FALSE
  return FALSE

```

- (a) Assume that h_0, h_1, \dots, h_r are fully independent ideal random functions. Suppose we INSERT $n = m/2$ distinct items into an initially empty table.
- Give the best bound you can on the probability that all n calls to INSERT are successful. [Hint: What is the expected number of collisions at the top level?]
 - Assuming all n insertions were successful, prove that the expected running time of FIND(x) is $O(1)$.
- (b) Repeat the previous analysis, but with $n = m$. [Hint: What is the expected number of empty cells at the top level?]
- (c) Now suppose each hash function h_i is merely 2-universal, but the different h_i 's are mutually independent from each other. Repeat your analysis from part (a), assuming $n = m/2$.
- * (d) How large can you make n and still derive an $O(1)$ expected time bound for FIND(x) with only 2-universal hash functions? Alternatively, how much independence is required to guarantee $O(1)$ expected time bound for FIND(x) when $n = m$?
- * (e) Now suppose instead of using an independent hash function at every level, we use a single hash function $h: \mathcal{U} \rightarrow [m]$, and implicitly define $h_i(x) = \lfloor h(x)/2^i \rfloor$.

Equivalently, we can model our data structure is a complete binary tree with depth r . The INSERT algorithm chooses a random leaf, and then walks upward toward the root to find an empty node to store x . In the implementation below, the overflow tree is encoded into a single array T in heap order.

```
INSERT(x) :  
  h ← h(x) + 2r  
  for i ← r down to 0  
    if T[h] = x  
      return TRUE  
    else if T[h] = ∅  
      T[h] ← x  
      return TRUE  
  h ← ⌊h/2⌋  
  return FALSE
```

```
FIND(x) :  
  h ← h(x) + 2r  
  for i ← r down to 0  
    if T[h] = x  
      return TRUE  
    else if T[h] = ∅  
      return FALSE  
  h ← ⌊h/2⌋  
  return FALSE
```

Repeat your analysis from part (a), assuming $n = m/2$ and the hash function h is ideal random.

- * (f) Prove something interesting about the previous hashing scheme when $n = m$ and/or when the hash function h has limited independence.